



20284

United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

CIRRUS LOGIC, INC.

AUSTIN, TX 78744

ATTN: LEGAL DEPARTMENT

4210 S. INDUSTRIAL DRIVE

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/803,349

03/08/2001

Joel W. Page

1120-CA

CONFIRMATION NO. 4186

FORMALITIES LETTER

OC000000005937246*

Date Mailed: 04/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

음음



A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY



09/803.349

MAY 1 0 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Page, et al.

Serial No.:

09/803,349

Filed:

03/08/01

Title: SIGNAL PROCESSING INTEGRATED CIRCUIT

Group Art Unit:

2183

Confirmation No.:

4186

Examiner:

unassigned

Assistant Commissioner for Patents

Box Amendment

Washington, D.C. 20231

Sirs:

Certificate of Express Mailing

This document is being deposited with the U.S. Postal Service as U.S. Express Mail with sufficient postage, in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231, on the date indicated below. Express Mail Receipt No. EM 570629 9400S

S. Taylor GAZ

MISSING PARTS RESPONSE

In response to the Notice to File Missing Parts of Application and Notice of Incomplete Application under 37 CFR 1.53 dated April 5, 2001, enclosed are the following documents:

- A PTO/SB/17 Fee Transmittal Sheet with filing fees in the amount of 1. \$710.000 and listing Winstead Sechrest & Minick Deposit Account No. 23-2426 for charging any over or underpayment of fees;
 - 2. A fully executed Declaration and Power of Attorney;

2

- 3. A fully executed Assignment, Assignment cover sheet and check in the amount of \$40.00 for Recordal of assignment fee;
 - 4. Acheck in the amount of \$130.00 in payment of fees for Missing Parts;
 - 5. A copy of the Notice to File Missing Parts of Application; and
 - 6. Return Receipt Postal Card.

It is believed that no additional fee is due. If this is incorrect, the Commissioner is hereby authorized to charge any fees which may be required by this paper to Deposit Account No. 23-2426.

Respectfully submitted,

WINSTEAD SECHREST & MINICK Attorneys for Applicant

James J. Marphy

5400 Renaissance Tower 1201 Elm Street Dallas, Texas 75270 (214) 745-5374

Attorney's Docket: 2836- P154US

Date: May 7, 2001

DALLAS_1\3475796\1 05/07/2001 - 2836-P154US

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

for FY 2001

Patent fees are subject to annual revision.

710.00+ (\$) 130.00+ **TOTAL AMOUNT OF PAYMENT**

Complete if Known				
Application Number	09/803.349			
Filing Date	03-08-2001			
First Named Inventor	Page			
Examiner Name				
Group Art Unit	2183			
Attorney Docket No.	1120-CA (p154US)			

METHOD OF PAYMENT	FEE CALCULATION (continued)				
The Commissioner is hereby authorized to charge indicated fees and credit any overnayments to:	3. ADDITIONAL FEES				
indicated fees and credit any overpayments to: Deposit	Large Small				
Account Number 232-2426		ee Paid			
Deposit Account Winstead Sechrest & Minick	Codo (E) Codo (E)	130.00			
Account Winstead Sechrest & Minick					
Charge Any Additional Fee Required	127 50 227 25 Surcharge - late provisional filing fee or cover sheet				
Applicant claims small entity status.	139 130 139 130 Non-English specification				
See 37 CFR 1.27 2. XXX Payment Enclosed: 3 checks	147 2,520 147 2,520 For filing a request for ex parte reexamination				
2. XX Payment Enclosed: 3 Checks WXX Check Credit card Money Order Other	112 920* 112 920* Requesting publication of SIR prior to Examiner action				
	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action				
FEE CALCULATION	115 110 215 55 Extension for reply within first month				
1. BASIC FILING FEE Large Entity Small Entity	116 390 216 195 Extension for reply within second month				
Fee Fee Fee Fee Description	117 890 217 445 Extension for reply within third month				
0000 (4)	118 1,390 218 695 Extension for reply within fourth month				
101 710 201 355 Utility filing fee \$710.00	128 1,890 228 945 Extension for reply within fifth month				
107 490 207 245 Plant filing fee	119 310 219 155 Notice of Appeal				
108 710 208 355 Reissue filing fee	120 310 220 155 Filing a brief in support of an appeal				
114 150 214 75 Provisional filing fee	121 270 221 135 Request for oral hearing				
	138 1,510 138 1,510 Petition to institute a public use proceeding				
SUBTOTAL (1) (\$) /10.00	140 110 240 55 Petition to revive - unavoidable				
2. EXTRA CLAIM FEES	141 1,240 241 620 Petition to revive - unintentional				
Extra Claims below Fee Paid	142 1,240 242 620 Utility issue fee (or reissue)				
Total Claims 16 -20** = 0 × 18 = 0	143 440 243 220 Design issue fee				
Independent 3 - 3** = 0 x 80 = 0	144 600 244 300 Plant issue fee				
Multiple Dependent	122 130 122 130 Petitions to the Commissioner				
	123 50 123 50 Processing fee under 37 CFR 1.17(q)				
Large Entity Small Entity Fee Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt				
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)	40.00			
102 80 202 40 Independent claims in excess of 3	146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))				
104 270 204 135 Multiple dependent claim, if not paid	149 710 249 355 For each additional invention to be				
109 80 209 40 ** Reissue independent claims over original patent	examined (37 CFR § 1.129(b))				
110 18 210 9 ** Reissue claims in excess of 20	179 710 279 355 Request for Continued Examination (RCE)				
and over original patent	169 900 169 900 Request for expedited examination of a design application	,			
SUBTOTAL (2) (\$) 0	Other fee (specify)				
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3)	ل00_001			

SUBMITTED BY	UBMITTED BY Complete (if applicable)			applicable)	
Name (PrintlType)	James J. Murphy	Registration No. (Attorney/Agent)	34,503	Telephone	214 745- 5374
Signature	James & Almahur			Date	May 10 . 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.